

Envelope waves (for linear waves)

see "group velocity Wiki" on WWW.

- envelope vel = modulation vel = group vel $\equiv v_g$
- carrier vel = phase vel = v_c

- $v_g \neq v_c$ in general. (They can even be opposite ~~in~~ in sign)
 $\rightarrow$ "group velocity" in Wiki.

Dispersion relation:

$$k(x - \frac{v_g}{2} t)$$

$$u = A e^{i(kx - \omega t)}$$

$\Rightarrow$

$$v_c = \frac{\omega(k)}{k}$$

- what about group vel?

* Suppose a wave packet



$$t=0 \quad u(x,0) = \sum a_k e^{ikx} \quad \text{[Fourier series]}$$

$$\Rightarrow t>0 \quad u(x,t) = \sum a_k e^{i(kx - \omega(k)t)} \quad \text{[disp. rel.]}$$

* Suppose wave has mostly one k (i.e. ^{almost} monochromatic) $\rightarrow k_0$
 $\Rightarrow \omega(k) \approx \omega_0 + (k - k_0) \omega'(k_0)$
 $\quad \quad \quad \leftarrow \omega(k_0)$

$$\omega' = \frac{d\omega}{dk}$$

$$\begin{aligned} \Rightarrow u(x,t) &= \sum a_k e^{i(kx - \omega_0 t - (k - k_0) \omega'_0 t)} \\ &= e^{it(k_0 \omega'_0 - \omega_0)} \sum a_k e^{ik(x - \omega'_0 t)} \end{aligned}$$

Look at the magnitude of this wave:

$$|u(x,t)| = \underbrace{|e^{it(k_0 \omega'_0 - \omega_0)}|}_1 \underbrace{|\sum a_k e^{ik(x - \omega'_0 t)}|}_{|u(x - \omega'_0 t, 0)|}$$

$\therefore$ the magnitude travel at vel = $v_g = \omega'_0 = \frac{d\omega}{dk} \big|_{k=k_0}$

$$\therefore \boxed{v_g = \frac{d\omega}{dk}}$$

[valid for linear waves with wave packets]

Nonlinear Schrödinger Eq (NLS)

• NLS is a general eq. : lowest order nonlinear wave eq. for envelopes of carrier waves.

• As we saw before for linear eqs it is useful to express wave as combination of plane waves (i.e. Fourier modes):

$$U(x,t) = \sum a_k e^{i(kx - \omega t)}$$

• Dispersion: $\omega = \omega(k)$, i.e. $\# k$ travel @ different speeds.

• + envelope (or modulation or group) velocity:

$$v_e = \frac{\partial \omega(k)}{\partial k}$$

+ carrier (or phase) velocity

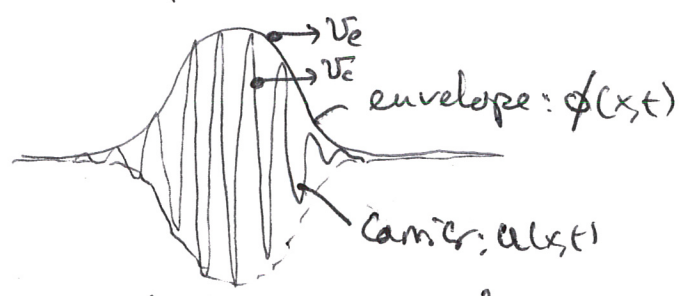
$$v_c = \frac{\omega}{k}$$

$$[(kx - \omega t) = k(x - v_e t)]$$

+ $v_e = v_c \iff \omega \propto k$

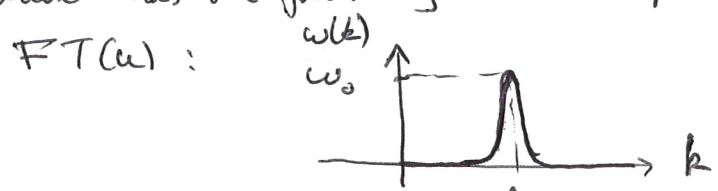
• We want an eq. that describes:

show Matlab
Wave-packet.m



i.e. $u \sim$ plane wave $\times$ envelope

• Such a wave has the following Fourier spectrum:



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Fourier-packet.m

• Let us choose a simple dispersion relation that behaves like this:

expand about k_0 : $\omega(k) = \omega_0 + b_1(k - k_0) + b_2(k - k_0)^2 + \dots$

$$\begin{aligned} \Rightarrow U(x,t) &\approx \frac{1}{2\pi} \int_{-\infty}^{\infty} F(k) e^{i[kx - \omega_0 t - b_1(k - k_0)t - b_2(k - k_0)^2 t]} dk \\ &\approx \underbrace{e^{i(k_0 x - \omega_0 t)}}_{\text{plane wave @ } v_c = \omega_0/k_0} \underbrace{\int_{-\infty}^{\infty} F(k) e^{i[(k - k_0)x - b_1(k - k_0)t - b_2(k - k_0)^2 t]} dk}_{\text{envelope: } \phi(x,t)} \end{aligned}$$

Maybe just show slides for this



Shore matters
Wave-packet

In gen: $v_e \neq v_g$

Let us follow envelope: $\phi(x,t) = \frac{1}{2\pi} \int_{-\infty}^{\infty} F(K+k_0) e^{i(Kk - b_1 Kt - b_2 K^2 t)} dK$
 $K \equiv k - k_0$

$$\Rightarrow \frac{\partial \phi}{\partial t} = \frac{1}{2\pi} \int_{-\infty}^{\infty} -i(b_1 K + b_2 K^2) F(K+k_0) e^{i(\cdot)} dK$$

$$= -\frac{b_1}{2\pi} \int_{-\infty}^{\infty} iK F(K+k_0) e^{i(\cdot)} dK + \frac{b_2}{2\pi} \int_{-\infty}^{\infty} -K^2 F(K+k_0) e^{i(\cdot)} dK$$

$(iK)^2$

$$\therefore \frac{\partial \phi}{\partial t} = -b_1 \frac{\partial \phi}{\partial x} + i b_2 \frac{\partial^2 \phi}{\partial x^2} \quad [F[f^{(k)}(x)] = (iK)^n F[f(x)]]$$

$\boxed{\phi_t = -b_1 \phi_x + i b_2 \phi_{xx}}$ ① Evolution eq. for pulse envelope.

The above was linear, let us now add a nonlinear term
 dispersion relationship:

+ without Dispersion: $\phi = A e^{i(kx - \omega t)}$

$$\Rightarrow -i\omega A e^{i(kx - \omega t)} = -ib_1 k A e^{i(kx - \omega t)} - i b_2 k^2 A e^{i(kx - \omega t)}$$

$$\Rightarrow \boxed{\omega = b_1 k + b_2 k^2} \text{ what we started with.}$$

+ let us add a dependence on envelope amplitude.

since  and  should give rise

to same wave packet, we need to add something that does not depend on sign. The lowest nonlinear term that achieves this is $|\phi|^2$.

$\beta(\omega, k)$ depending on response.

$$\therefore \boxed{\omega = b_1 k + b_2 k^2 + \beta |\phi|^2} \text{ ② lowest order nonlinearity added.}$$

Here, dispersion also depends on amplitude of envelope.

Find eq. whose dispersion rel. behaves like ②:

$$i(\phi_t + b_1 \phi_x) + b_2 \phi_{xx} - \beta |\phi|^2 \phi = 0$$

check: $\phi = A e^{i(kx - \omega t)} \Rightarrow [i(-i\omega + ib_1 k) - b_2 k^2 - \beta |\phi|^2] A e^{i(kx - \omega t)} = 0$

$$\Rightarrow \omega = b_1 k + b_2 k^2 + \beta |\phi|^2 \quad \checkmark$$

• Now perform change of variables:

$$\psi(z, \tau) = \phi(x, t) \quad z = x - b_1 t, \quad \tau = t \quad (\text{same trick as the})$$

$$\Rightarrow \psi_\tau = \psi_z \frac{\partial z}{\partial \tau} + \psi_t \frac{\partial t}{\partial \tau} = -b_1 \psi_z + \psi_t$$

$$\psi_x = \psi_z \frac{\partial z}{\partial x} + \psi_t \frac{\partial t}{\partial x} = \psi_z$$

$$\therefore i [-b_1 \psi_z + \psi_t + b_1 \psi_z] + b_2^2 \psi_{zz} - \beta |\psi|^2 \psi = 0$$

• Adimensionalize: $\psi = A u$; $z = \sqrt{2b_2} x$; $\tau = \frac{1}{\sqrt{2b_2}} \partial_x$

$$\Rightarrow i A u_\tau + \frac{A}{2} u_{xx} - \beta A^3 |u|^2 u = 0$$

$$\Rightarrow i u_\tau + \frac{1}{2} u_{xx} - \beta A^2 |u|^2 u = 0$$

Choose $A^2 = 1/\beta$ $\Rightarrow -\beta A^2 = -\text{sign}(\beta)$

$$\therefore \boxed{i u_\tau + \frac{1}{2} u_{xx} \pm |u|^2 u = 0} \quad \text{Nonlinear Schrödinger Eq. NLS}$$

time sep., cubic, nonlinear, Schr. eq.

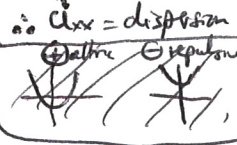
(+) Attractive/focusing nonlinearity, ($\beta < 0$)

(-) Repulsive/defocusing ————— ($\beta > 0$)

Dispersion relation
 $\psi = e^{i(kx - \omega t)}$

$$\Rightarrow i(-i\omega) + \frac{1}{2}(ik)^2 \psi = 0$$

$$\Rightarrow \omega = \frac{1}{2} k^2 + 1$$

$\therefore u_{xx} = \text{dispersion}$




• NLS describes propagation of an envelope wave riding over a carrier wave.

• $u_{xx} = \text{dispersion}$ [not dissipation because $i u_\tau$]

• $|u|^2 u$: lowest order nonlinearity.

$\therefore$ NLS = generic eq. describing carrier wave with dispersive and nonlinear effects (No dissipation).

Applications:

- Hydrodynamic waves on deep water
- Heat pulses in solids

- "Langmuir" waves in plasmas

- Nonlinear waves in fluid-filled viscoelastic tube

- Monochromatic waves in fibers

and other optical nonlinear media (NLS follows from Maxwell's eq.)

- Bose-Einstein condensation.

- waves in piezoelectric semi-conductors

• $u \in \mathbb{C}$ so, for most depictions, we'll use $|u|^2$.

• $u = f(x-ct)$ cannot work because $i u_\tau$ [and thus speed ~~has to~~ ^{would} be complex]

$\rightarrow$ nonetheless if we decompose u into envelope and carrier waves the travelling ansatz works.

Solitons in NLS

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Mo 10/2/06 (37)

$$\boxed{i u_t + \frac{1}{2} u_{xx} \pm |u|^2 u = 0} \quad 1 \times \mathbb{C} \text{ PDE}$$

$$u = u(x,t) = f(x,t) e^{ig(x,t)} \quad f, g \in \mathbb{R}, \quad f: \text{envelope} \\ g: \text{carrier.}$$

$$\Rightarrow \begin{aligned} \bullet u_x &= f_x e^{ig} + i f g_x e^{ig} \\ \bullet u_{xx} &= f_{xx} e^{ig} + i f_{xx} g_x e^{ig} + i(f_x g_x + f g_{xx}) e^{ig} - f g_x^2 e^{ig} \\ \bullet u_t &= f_t e^{ig} + i f g_t e^{ig} \\ \bullet |u|^2 u &= f^3 e^{ig} \end{aligned}$$

$$\therefore \text{NLS} \Rightarrow e^{ig} \left[i f_t - f g_t + \frac{1}{2} f_{xx} + 2i f_x g_x + i f g_{xx} - f g_x^2 \pm f^3 \right] = 0$$

$$\Rightarrow \begin{cases} \text{R} \int f_t + f_x g_x + \frac{1}{2} g_{xx} = 0 \\ \text{I} \int -f g_t + \frac{1}{2} f_{xx} - \frac{1}{2} f g_x^2 \pm f^3 = 0 \end{cases} \quad 2 \times \mathbb{R} \text{ PDE's}$$

Now suppose traveling waves in BOTH carrier and envelope:

$$\begin{aligned} f(x,t) &= \tilde{f}(x - v_e t) = \tilde{f}(\eta) \\ g(x,t) &= \tilde{g}(x - v_e t) = \tilde{g}(\eta) \end{aligned} \quad \begin{array}{c} \text{---} \eta \text{---} \\ \text{---} v_e \text{---} \end{array}$$

drop v's

$$\Rightarrow \begin{cases} -v_e f' + f' g' + \frac{1}{2} f g_{xx} = 0 & \textcircled{a} \\ +v_e f g' + \frac{1}{2} f'' - \frac{1}{2} f (g')^2 \pm f^3 = 0 & \textcircled{b} \end{cases}$$

We need to solve this system of coupled ODEs (with appropriate BCs) to find solitons:

$$\bullet \textcircled{a}. f : -v_e f f' + f f' g' + \frac{1}{2} f^2 g'' = 0$$

$$\Rightarrow -\frac{v_e}{2} (f^2)' + \frac{1}{2} (f^2)' g' + \frac{1}{2} f^2 g'' = 0$$

$$\times 2 \Rightarrow -v_e (f^2)' + [f^2]' [g'] + [f^2] [g']' = 0$$

$$\Rightarrow -v_e f^2 + (f^2 g')' = 0 \xrightarrow{\int} -v_e f^2 + f^2 g' = A$$

$$\eta = x - v_e t$$

As before, we want decay in envelope $\Rightarrow f(\pm\infty) = 0 \Rightarrow A = 0$

$$\therefore f^2 (-v_e + g') = 0 \quad f \neq 0 \Rightarrow \boxed{g' = v_e} \Rightarrow \boxed{g(\eta) = v_e \eta + \phi_0}$$

$$\bullet 2\textcircled{b} : 2v_e v_e f + f'' - f v_e^2 \pm 2f^3 \Rightarrow \boxed{f'' = v_e f (v_e - 2v_e) \pm 2f^3} \quad \textcircled{d}$$

$$= -\frac{d}{d\eta} \left[-\frac{v_e}{2} f^2 (v_e - 2v_e) \pm \frac{1}{2} f^4 \right]$$

$$\therefore m f'' = -\frac{dV}{d\eta} \quad \text{with } m=2 \times v_e \quad V(f) = f^2 [v_e (2v_e - v_e) \pm f^2]$$

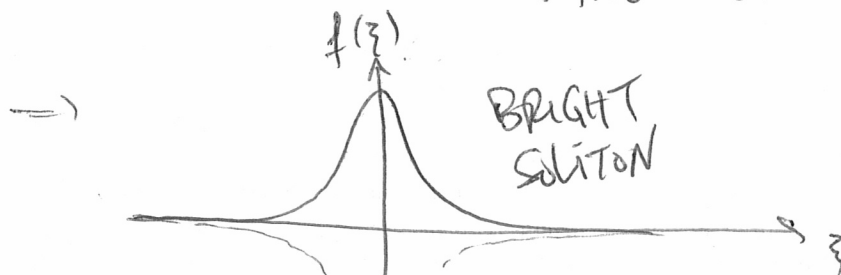
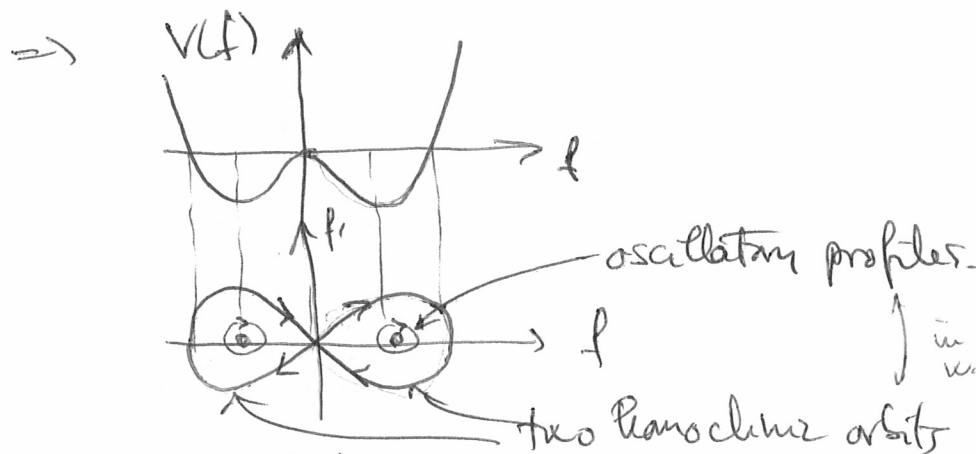
$$\text{or} \quad V(f) = \pm f^4 - v_e (v_e - 2v_e) f^2 \quad \begin{array}{l} (+) \text{ focusing/attra.} \\ (-) \text{ defocusing/repul.} \end{array}$$

(+): Focusing nonlinearity:

$$V(f) = f^4 - v_e(v_e - 2v_c) f^2$$

- If $v_e - 2v_c < 0 \Rightarrow V(f) \sim f^4 + a f^2 = W + U = U$
 $\rightarrow$ No homoclinic orbits
- If $v_e - 2v_c > 0 \Rightarrow V(f) \sim f^4 - a f^2 = W + U = W$
 $\rightarrow$ yes!

Restriction: $|v_e > 2v_c|$ for focusing nonlinearity:



Shue Matlab
Wave-packet.m
alpha = +1

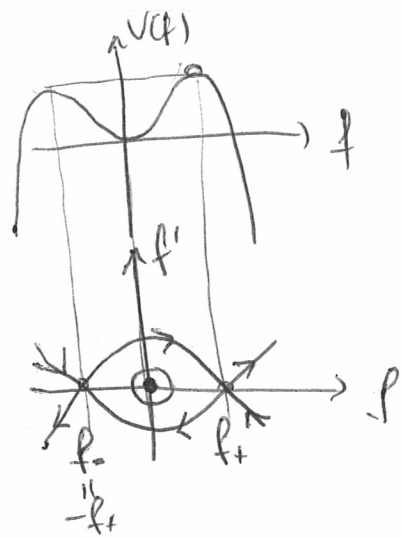
$\rightarrow$ discuss that V potential approach provides $\exists$ of trav. sol., but it does not say anything about the stability !!!

(-): Defocusing nonlinearity

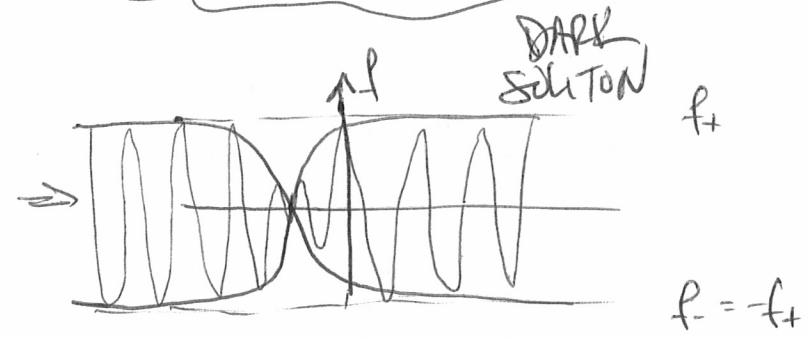
$$V(f) = -f^4 - v_e(v_e - 2v_c) f^2$$

- If $v_e - 2v_c > 0 \Rightarrow V(f) = -f^4 - a f^2 = -\frac{f^4}{4} - \frac{a f^2}{2}$
 $\rightarrow$ No interesting orbits
- If $v_e - 2v_c < 0 \Rightarrow V(f) = -f^4 + a f^2 = -\frac{f^4}{4} + \frac{a f^2}{2}$
 $\rightarrow$ heteroclinic orbits.

Restriction: $|v_e < 2v_c|$



Show Matlab
Wave-packet.m, alpha = -1



Explicit solutions:

①: $f'' - v_e f (v_e - 2v_c) \pm 2f^3 = 0$

$\times f'$: $f'f'' - v_e f f' (v_e - 2v_c) \pm 2f^3 f' = 0$

$\int \frac{1}{2}(f')^2 \pm \frac{v_e}{2} f^2 (v_e - 2v_c) \pm \frac{1}{2} f^4 = A/2$

② $\int \frac{dx}{x\sqrt{b-ax^n}} = \frac{-2}{n\sqrt{b}} \tanh^{-1} \left[\frac{\sqrt{b-ax^n}}{\sqrt{b}} \right]$

(+) focusing: $f' = \pm f \sqrt{\underbrace{v_e(v_e - 2v_c)}_{\equiv b} - f^2}$ AND $A=0$ BCs

$\Rightarrow \int \frac{df}{\pm f \sqrt{b-f^2}} = \zeta - x_0$

③ $\Rightarrow \left[\frac{\pm \zeta}{2 \sqrt{v_e(v_e - 2v_c)}} \tanh^{-1} \left[\frac{\sqrt{v_e(v_e - 2v_c) - f^2}}{\sqrt{v_e(v_e - 2v_c)}} \right] \right] = \zeta - x_0$

$b \equiv v_e(v_e - 2v_c) \Rightarrow \frac{\sqrt{b-f^2}}{\sqrt{b}} = \tanh(\pm \sqrt{b}(\zeta - x_0))$

$\Rightarrow b - f^2 = b \tanh^2 \Rightarrow f^2 = b(1 - \tanh^2) = b \operatorname{sech}^2$

$\therefore f = \pm \sqrt{b} \operatorname{sech} \sqrt{b}(\zeta - x_0)$, $\zeta = x - v_e t$

and $\phi = v_e \zeta + \phi_0$; def: $a \equiv \sqrt{b} = \sqrt{v_e(v_e - 2v_c)} = \sqrt{v_e \equiv c} \Rightarrow a^2 = c^2 - 2cv_e \Rightarrow v_e = -\frac{a^2 - c^2}{2c}$

$u = f e^{i\phi}$

$\Rightarrow |u(x,t)| = a \operatorname{sech} a(x - ct - x_0) e^{i[cx + \frac{a^2 - c^2}{2c}t + \phi_0]}$

bright soliton solution of NLS.

4 free params: a, c, x_0, ϕ_0

Envelope: $|u|^2 = a^2 \operatorname{sech}^2 a(x - ct + x_0)$

Show Matlab
NLS.m
 $u = u_1$
 $d = -1$

(-) Defocusing : $(f')^2 - v_e(v_e - 2v_c) f^2 - f^4 = A$
($v_e < 2v_c$)

Here, since we are after heteroclinic solution we cannot make $A=0$
let us try for:

$f : f(\pm\infty) = \pm a, f'(\pm\infty) = 0$

thus: $\pm\infty : -v_e(v_e - 2v_c) a^2 - a^4 = A \Rightarrow a^4 - 2ba^2 + A = 0$
 $\equiv 2b > 0$ (since $v_e < 2v_c$)

Also, let us choose v_e such that $A = b^2 \Rightarrow a^4 - 2ba^2 + b^2 = 0$
[a more general DS will be discussed later] $\Rightarrow (a^2 - b)^2 = 0$

$\Rightarrow \underline{b = a^2}$

$\therefore (f')^2 + 2a^2 f^2 - f^4 = a^4$

$\Rightarrow (f')^2 = (f^2 - a^2)^2 \Rightarrow f' = \pm (f^2 - a^2)$

$\Rightarrow \int \frac{df}{\pm(f^2 - a^2)} = \int \frac{dz}{z^2 - a^2} = z - x_0 \Rightarrow \mp \frac{1}{a} \tanh^{-1} \frac{f}{a} = z - x_0$

$\Rightarrow f = \mp a \tanh a(z - x_0)$

and $g(\eta) = v_e \eta + \phi_0$

but: $b = a^2 \Rightarrow 2b = 2a^2 \Rightarrow -v_e(v_e - 2v_c) = 2a^2$

$\underline{v_e \equiv c} \Rightarrow -c(c - 2v_c) = 2a^2$

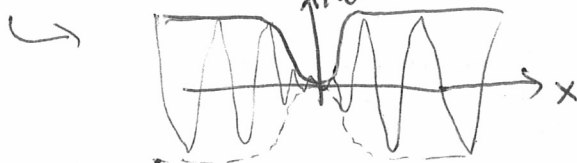
$\Rightarrow -c^2 + 2cv_c = 2a^2 \Rightarrow v_c = \frac{2a^2 + c^2}{2c}$

$\therefore \underline{v_c = \frac{a^2}{c} + \frac{c}{2}} \Rightarrow g(\eta) = v_c(x - v_c t) + \phi_0$

$\therefore \underline{U(x,t) = \pm a \tanh a(x - ct - x_0) e^{i[\alpha x - (a^2 + \frac{c^2}{2})t + \phi_0]}}$

DARK Soliton sol.

envelope: $|U|^2 = a^2 \tanh^2 a(x - ct - x_0)$



Shaw Matlab
NLS in $U = u_3$
 $\alpha = +1$

$\rightarrow$ play with dynamics

- $\phi_{10} = 0, \phi_{20} = \pi$
- $\phi_{10} = 0, \phi_{20} = 0$
- $v_{el} = 0$
- ...

Stationary DS: $v_c = 0, v_e = 0 = c$

$\Rightarrow U_{\text{Black}} = \pm a \tanh a(x - x_0) e^{i[-a^2 t + \phi_0]}$

keep Freq: $\omega = -a^2$

Galilean boost for NLS solutions

(40.5)

Note that the general DS has a $e^{i\alpha x}$ term $\rightarrow$ this corresponds to a spatial oscillation that is induced by a constant velocity of the background where the DS is seeded.

To realise this let us see what are the effects of a "boost" $e^{i\alpha x}$ on a NLS solution:

Galilean Boost: suppose $u(x,t)$ solution to NLS: $i(u_t + \frac{1}{2}u_{xx} \pm |u|^2 u) = 0$

α : what happens when we "boost" u with $e^{i\alpha x}$?

let us define: $u = v e^{i\alpha(x-\beta t)}$

$$\stackrel{\text{NLS}}{\Rightarrow} i(v_t e^{i\alpha(x-\beta t)} - i\alpha\beta v e^{i\alpha(x-\beta t)}) + \frac{1}{2}[v_{xx} e^{i\alpha(x-\beta t)} + i\alpha v_x e^{i\alpha(x-\beta t)}] \pm |v|^2 v e^{i\alpha(x-\beta t)} = 0$$

$$\Rightarrow i(v_t e^{i\alpha(x-\beta t)} - i\alpha\beta v e^{i\alpha(x-\beta t)}) + \frac{1}{2}[v_{xx} e^{i\alpha(x-\beta t)} + i\alpha v_x e^{i\alpha(x-\beta t)} + i\alpha v_x e^{i\alpha(x-\beta t)} + (i\alpha)^2 v e^{i\alpha(x-\beta t)}] \pm |v|^2 v e^{i\alpha(x-\beta t)} = 0$$

$$\Rightarrow i(v_t + \frac{1}{2}v_{xx} \pm |v|^2 v) + [\alpha\beta v - \frac{\alpha^2}{2}v + \alpha v_x] = 0$$

$$\Rightarrow i(v_t + \alpha v_x) + \frac{1}{2}v_{xx} \pm |v|^2 v + \alpha v(\beta - \alpha/2) = 0 \Rightarrow \boxed{\beta = \alpha/2}$$

$$\Rightarrow i(v_t + \frac{\alpha}{2}v_x) + \frac{1}{2}v_{xx} \pm |v|^2 v = 0$$

Now take a co-moving reference frame:

$$\begin{cases} z = x - \frac{\alpha}{2}t \\ \tau = t \end{cases}$$

$$\Rightarrow \begin{cases} u_t = \frac{\partial u}{\partial \tau} \frac{d\tau}{dt} + \frac{\partial u}{\partial z} \frac{dz}{dt} = u_\tau (-\frac{\alpha}{2}) + u_z (-\frac{\alpha}{2}) \\ u_x = \frac{\partial u}{\partial \tau} \frac{d\tau}{dx} + \frac{\partial u}{\partial z} \frac{dz}{dx} = 0 + u_z \Rightarrow u_{xx} = u_{zz} \end{cases}$$

$$\therefore i(v_\tau - \frac{\alpha}{2}v_z + \frac{\alpha}{2}v_z) + \frac{1}{2}v_{zz} \pm |v|^2 v = 0$$

$$\therefore i v_\tau + \frac{1}{2}v_{zz} \pm |v|^2 v = 0$$

$\therefore$ If $u(x,t)$ sol. to NLS $\Rightarrow u e^{-i\frac{\alpha}{2}x}$ is also a sol. to NLS but in a co-moving ref. frame with velocity $\frac{\alpha}{2} = c$

$\therefore$

More general dark solitons $\rightarrow$ grey solitons

previous DS: $\int_{\mathbb{R}} e^{i\phi} \frac{d\phi}{dx}$

$\rightarrow$ let us generalize and find $f \in \mathbb{C}$:

$u(x,t) = \left[\underbrace{f(x-ct)}_{b\zeta} + iA \right] e^{i\phi(x,t)}$ ① with $f, A \in \mathbb{R}$.

Spiky-independent phase!
 • this can be done always for a linear phase using a Galilean boost
 • No back-flow!!

① in NLS: $i u_t + \frac{1}{2} u_{xx} - |u|^2 u = 0$

$\Rightarrow i [-cf' + (f+iA)i\phi'] + \frac{1}{2} f'^2 - [f^2 + A^2][f+iA] = 0$

$\Rightarrow -cf' - B\phi' - iA\phi' + \frac{1}{2} f'' - (f^2 + A^2)(f+iA) = 0$

$\begin{cases} \mathbb{R}=0 \Rightarrow -f\phi' + \frac{1}{2} f'' - [A^2 + f^2]f = 0 \\ \mathbb{I}=0 \Rightarrow -A\phi' - cf' - [A^2 + f^2]A = 0 \end{cases}$

$A \cdot \mathbb{R} - \mathbb{I} \Rightarrow \frac{1}{2} A f'' + c f f' = 0 \Rightarrow \frac{1}{2} A f' + \frac{1}{2} c f^2 = d \Rightarrow \frac{df}{x - \beta f^2} = d\zeta$

$\therefore f(b\zeta) = a \tanh(b\zeta)$

... choosing appropriate constants $(a, b, c, \dots)$ we can solve for f & ϕ to find:

HW

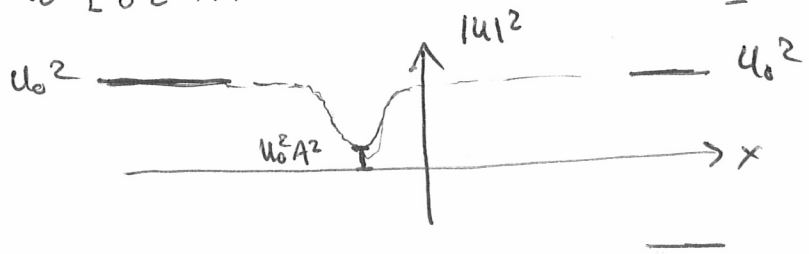
$u(x,t) = u_0 [B \tanh(u_0 B (x-ct)) + iA] e^{-i u_0^2 t}$
 with $c = A u_0$ & $A^2 + B^2 = 1$

$= [\tilde{B} \tanh(\tilde{B}(x-ct)) + i\tilde{c}] e^{-i \tilde{u}_0^2 t}$
 $\tilde{u}_0^2 = \tilde{B}^2 + \tilde{c}^2$

gen. form of dark (grey) soliton

Study this shape:

$|u| = u_0^2 [B^2 \zeta^2 + A^2] \xrightarrow{x \rightarrow \pm \infty} u_0^2 [B^2 + A^2] = u_0^2$
 $\xrightarrow{x \rightarrow 0} u_0^2 A^2$



MA for Dark Soliton Shapes

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85
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- Refs: [1] Kivshar + Luther Davis, Phys. Rep. 298(48) 81-197

$$i u_t + \frac{1}{2} \nabla^2 u - |u|^2 u = 0$$

p92: Review on dark solitons:

- $u(x,t) = u_0 [B \tanh \theta + iA] e^{-i u_0^2 t}$ ($u = +u_0^2$)

$$\theta = u_0 B(x - A u_0 t) \quad \& \quad A^2 + B^2 = 1$$

now, if we do $A = \sin \phi$, $B = \cos \phi$
we have 2 params: u_0 & ϕ .

- $v_e = v_{\text{vel}} = u_0 \sin \phi$ ($= A u_0$) $\Rightarrow \frac{[u_0 B \tanh \theta + i v_e] e^{-i u_0^2 t}}{[u_0 \cos \phi \tanh \theta + i u_0 \sin \phi] e^{-i u_0^2 t}}$

- * $\phi = 0 \Rightarrow v_e = 0 \Rightarrow A = \sin \phi = 0, B = \cos \phi = 1$

$$\Rightarrow u(x,t) = u_0 \tanh u_0(x) e^{-i u_0^2 t}$$

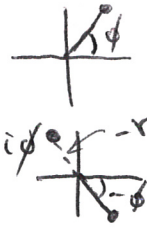
= a stationary DS.  $\Delta \text{phase} = \pi$

- * $\phi = \pi/2 \Rightarrow v_e = u_0 \Rightarrow A = 1, B = 0$

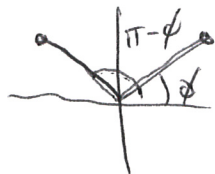
$$\Rightarrow u(x,t) = u_0 e^{-i u_0^2 t}$$

= a stationary steady state.  $\Delta \text{phase} = 0$

- * ϕ : $x = +\infty \Rightarrow u_+ = u_0 [B + iA] e^{-i u_0^2 t}$
 $x = -\infty \Rightarrow u_- = u_0 [-B + iA] e^{-i u_0^2 t}$

$$\begin{aligned} \tilde{u}_+ &= u_+/u_0 e = B + iA = \cos \phi + i \sin \phi = e^{i\phi} \\ \tilde{u}_- &= u_-/u_0 e = -B + iA = -\cos \phi + i \sin \phi \\ &= -\cos(\phi) - i \sin(\phi) = -e^{-i\phi} \end{aligned}$$


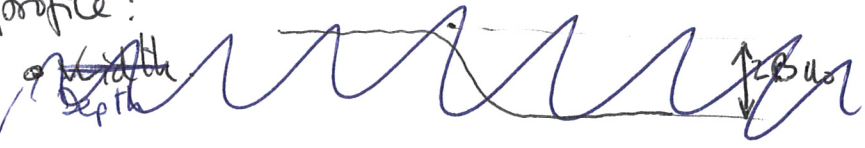
o.o.



$$\therefore \Delta \text{phase} = \cancel{\phi} (\pi - \phi) - \phi = \pi - 2\phi$$

$$\text{or } \boxed{\Delta \text{phase} = \pi - 2\phi} \quad \begin{aligned} \phi = 0 &\Rightarrow \Delta \text{phase} = \pi \\ \phi = \pi/2 &\Rightarrow \Delta \text{phase} = 0 \end{aligned}$$

* profile:



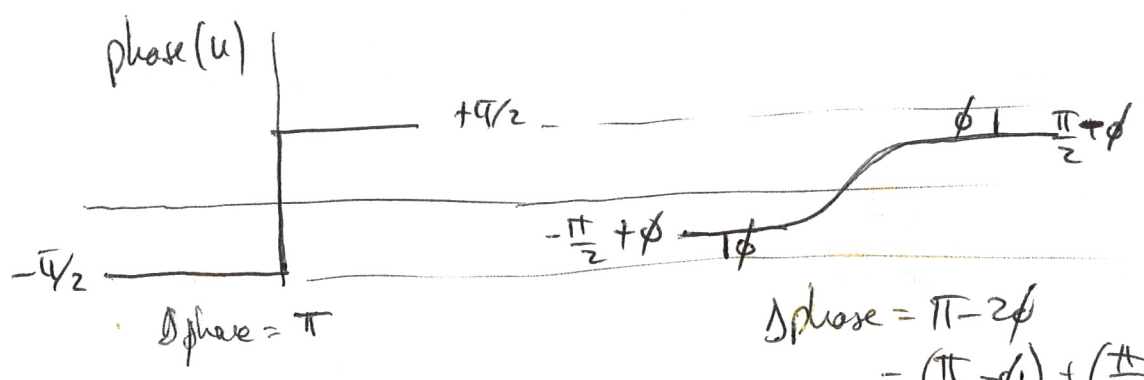
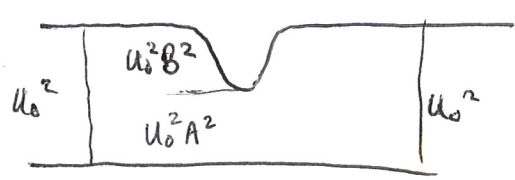
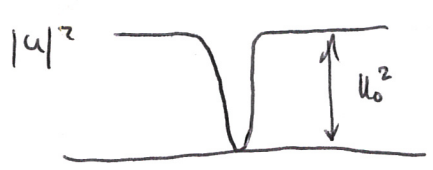
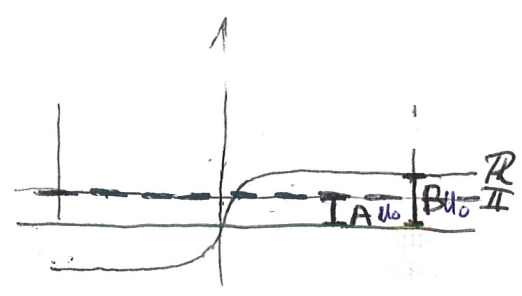
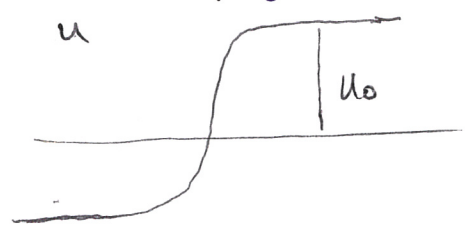
• @ center : $x=0, t=0$ $u = u_0 [iA] e^{-i\omega t}$
 $\Rightarrow |u| = u_0 A$

• $|u|^2 @ \pm \infty$: $|u|^2 = u_0^2 (\pm e^{\pm i\phi}) e^{-i\omega t} = u_0^2$

Depth $\frac{|u|^2}{u_0^2 A^2} = u_0^2 - u_0^2 A^2 = u_0^2 (1 - A^2) = u_0^2 B^2$

• $\phi = 0$
 $\boxed{u_e = 0}$

$\phi, \boxed{u_e = u_0 \sin \phi}$



$\Delta \text{phase} = \pi - 2\phi$
 $= (\frac{\pi}{2} - \phi) + (\frac{\pi}{2} - \phi)$
 $= (\frac{\pi}{2} - \phi) - (-\frac{\pi}{2} + \phi)$

• profile: $|u|^2 = u_0^2 (1 - \cos^2 \phi \text{sech}^2 \frac{u_0 x}{B})$ $\sqrt{\frac{u_0^2}{u_0^2 - u_0^2 \cos^2 \phi \text{sech}^2 \frac{u_0 x}{B}}}$

• DS travels @ speeds $\leq u_0 = \text{speed of sound}$.
 $\cdot u_e = u_0 \sin \phi$

Modulational stab for NLS $\rightarrow$ I.e. How flat (homog.) steady states evolve $\rightarrow$ u/s?

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(44)

$$i u_t + \frac{1}{2} u_{xx} \pm |u|^2 u = 0 \quad \begin{array}{l} + \text{BS (Att)} \\ - \text{DS (Rep)} \end{array}$$

show numbers
see p. (47)

unif Steady state: $u_s = u_0 e^{-i\mu t}$ $\leftarrow$ chemical potential in BECs
 $-\mu = \text{Freq. in optics.}$

$$\Rightarrow \mu u_0 \pm u_0^3 = 0 \Rightarrow \mu \pm u_0^2 = 0$$

$$\Rightarrow \boxed{\mu = \pm u_0^2}$$

$$\therefore \boxed{u_s(x,t) = u_0 e^{\pm i u_0^2 t}} \quad \begin{array}{l} + \text{Att} \\ - \text{Rep.} \end{array}$$

modu

• Stab of unif. steady state:

suppose $u = u_s(x,t) + \epsilon v(x,t) \quad |\epsilon| \ll 1$

NLS $\Rightarrow i u_t + \frac{1}{2} u_{xx} \pm |u|^2 u = 0$ $|u|^2 u = u^2 u^* = (u_s^2 + 2\epsilon u_s v + \epsilon^2 v^2)(u_s^* + \epsilon v^*)$

$$i u_{st} + \frac{1}{2} u_{sxx} \pm (u_s^2 + 2\epsilon u_s v + \epsilon^2 v^2)(u_s^* + \epsilon v^*) = 0$$

$$\Rightarrow i v_t + \frac{1}{2} v_{xx} \pm [2u_s v u_s^* + \epsilon^2 v^2] = 0$$

$$O(\epsilon): \quad \boxed{i v_t + \frac{1}{2} v_{xx} \pm 2|u_s|^2 v \pm u_s^2 v^* = 0} \quad (2)$$

$$\Rightarrow \boxed{i v_t + \frac{1}{2} v_{xx} \pm 2u_0^2 v \pm u_0^2 e^{\pm 2i u_0^2 t} v^* = 0} \quad \text{eq. for perturbation}$$

suppose $v(x,t) = e^{i(kx - \omega t)} \rightarrow$ Q: dispersion relation = ?

$$\Rightarrow \omega e + \frac{1}{2} (ik)^2 e \pm 2u_0^2 e \pm u_0^2 e^{\pm 2i u_0^2 t - i(kx - \omega t)} = 0$$

$$\Rightarrow \omega - \frac{1}{2} k^2 \pm 2u_0^2 \pm u_0^2 e^{\pm 2i u_0^2 t - 2i(kx - \omega t)} = 0$$

does not work!
we need pert. to "rotate"
w & k @ same rate as u_s

again: $i U_t + \frac{1}{2} U_{xx} \pm 2 |U_s|^2 U \pm U_s^2 U^* = 0$ ✓

$U_s = U_0 e^{\pm i U_0^2 t} \rightarrow i U_t + \frac{1}{2} U_{xx} \pm 2 U_0^2 U \pm U_0^2 e^{\pm 2 i U_0^2 t} U^* = 0$ (2)

e perturb: $U(x,t) = e^{i(kx - \omega t)}$
 $\Rightarrow$... does not work.

perturb: $U(x,t) = (a+ib) e^{\pm i U_0^2 t}$ (3) $a = a(x,t)$
 $b = b(x,t)$

We need perturb to be rotating @ same rate of U_s , then a & b will take care of pert.

(2) & (3) $\Rightarrow i(a+ib)_t + \frac{1}{2}(a+ib)_{xx} \pm \frac{1}{2}(a+ib)(\pm i U_0^2) e^{\pm i U_0^2 t} + \frac{1}{2}(a+ib)_{xx} e^{\pm i U_0^2 t} \pm 2 U_0^2 (a+ib) e^{\pm i U_0^2 t} \pm U_0^2 e^{\pm 2 i U_0^2 t} (a-ib) e^{\mp i U_0^2 t} = 0$

$\Rightarrow \begin{cases} \text{Im: } a_t \mp U_0^2 b + \frac{1}{2} b_{xx} \pm 2 U_0^2 b \mp U_0^2 b = 0 \\ \text{Re: } -b_t \mp U_0^2 a + \frac{1}{2} a_{xx} \pm 2 U_0^2 a \pm U_0^2 a = 0 \end{cases}$

$\Rightarrow \begin{cases} a_t + \frac{1}{2} b_{xx} = 0 \\ -b_t + \frac{1}{2} a_{xx} \pm 2 U_0^2 a = 0 \end{cases}$ $\begin{matrix} \text{---} \text{Att (BS)} \\ \text{+} \text{Rep (DS)} \end{matrix}$

Now let pert. be a plane wave:

$\begin{pmatrix} a \\ b \end{pmatrix} = \begin{pmatrix} a_0 \\ b_0 \end{pmatrix} e^{+i(kx - \omega t)}$

$\Rightarrow \begin{cases} a_0(i\omega) + \frac{1}{2}(-k)^2 b_0 = 0 \\ -b_0(-i\omega) + \frac{1}{2}(-k)^2 a_0 \pm 2 U_0^2 a_0 = 0 \end{cases}$

$$\Rightarrow M(\omega_0) = 0 \text{ with } M = \begin{bmatrix} -i\omega & \frac{-k^2}{2} \\ \frac{-k^2}{2} + 2u_0^2 & i\omega \end{bmatrix}$$

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(46)

non-trivial sol. to $M(\omega) = 0$ only if $\det(M) = 0$

$$\Rightarrow \omega^2 = \left(\frac{-k^2}{2} + 2u_0^2 \right) \left(\frac{-k^2}{2} + \pm 2u_0^2 \right)$$

~~Ans~~: $\omega^2 = \left(\frac{-k^2}{2} \right) \left(\frac{-k^2}{2} + 2u_0^2 \right) = \frac{k^2}{4} (k^2 - 4u_0^2)$

~~Rep~~: $\omega^2 = \left(\frac{-k^2}{2} \right) \left(\frac{-k^2}{2} - 2u_0^2 \right) = \frac{k^2}{4} (k^2 + 4u_0^2) > 0$
 $\Rightarrow \omega \in \mathbb{R} \Rightarrow \text{Stable}$

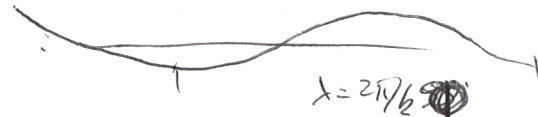
$\therefore$ ~~Ans~~ * if $k^2 > 4u_0^2 \Rightarrow \omega \in \mathbb{R} \Rightarrow S$

$|k| > 2u_0 \Rightarrow S$

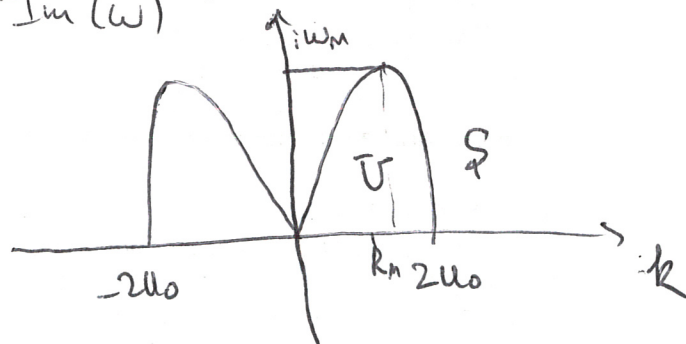
* if $|k| < 2u_0 \Rightarrow U$

$\therefore \omega^2 < 0 \Rightarrow \omega = i\omega_i \Rightarrow U$

* $|k| < 2u_0 \Rightarrow \cos kx$



$\text{Im}(\omega) = \left| \frac{k}{2} \sqrt{4u_0^2 - k^2} \right|$



~~ans~~ $\omega_m = \frac{k}{2} \sqrt{4u_0^2 - k^2}$
 $= \frac{\sqrt{2}k}{2} u_0$
 $\omega_m = \frac{k u_0}{\sqrt{2}}$

maximum growth rate @ $k = k_m$ where $\frac{\partial \omega}{\partial k} \Big|_{k_m} = 0$

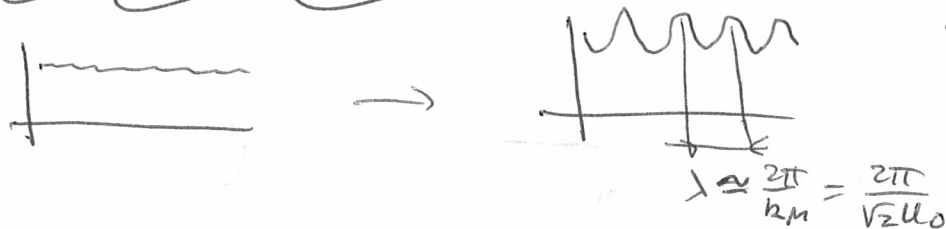
same as $\frac{\partial \omega^2}{\partial k} \Big|_{k_m} = 0 \Rightarrow k_m^3 - 2k_m u_0^2 = 0$

$\Rightarrow k_m^2 = 2u_0^2 \Rightarrow$

$k_m = \sqrt{2} u_0$

$\therefore$ If we randomly perturb NLS for a uniform steady state we should have a growth of an unstable mode @ $k_H = \sqrt{2} u_0$

Show NLS instability in



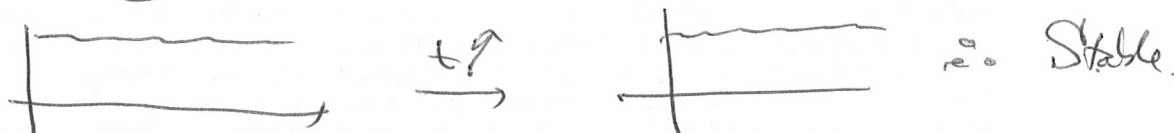
$\bullet u_0 = 1 \Rightarrow \lambda \approx 4.44$
 $\bullet u_0 = 0.5$ ($L=20$, $L=50$)
 $\Rightarrow \lambda \approx 8.88$

$\Rightarrow$ This MI creates solitons!

Repulsive: $\omega^2 = \frac{\hbar^2}{2} \left(\frac{k^2}{2} + 2u_0 \right)^2 > 0$

$\therefore \omega \in \mathbb{R} \therefore$ No MI for repulsive nonlinearity!

Show NLS instability
 $\alpha = +1$, $t = 150$



$\Rightarrow$ DS cannot be created from MI.
 $\rightarrow$ this is natural because DS needs a topological change, i.e. a defect on the phase. (this is a strange part.)
 would be

Intro to BECs

84-1/2

(48)

• Rep/alt

• V_{MT}

• Rep

$$\int |u|^2 \quad [S = S |u|^2]$$

$M \leftarrow$ $\ll 1$ limit $\rightarrow$ Gaussian

$\gg 1$ limit $\rightarrow$ TF.

Repulsive

1D

$$V_{MT} = \frac{1}{2} \Omega^2 x^2 \Rightarrow$$

$$i u_t + \frac{1}{2} u_{xx} + |u|^2 u = V(x) \cdot u$$

$M \ll 1 \Rightarrow |u|^2 u \ll 1 \Rightarrow i u_t + \frac{1}{2} u_{xx} = V(x) u$

(linear limit) $u = v(x) e^{-i\mu t} \Rightarrow \mu v + \frac{1}{2} v_{xx} = V v$

$$\Rightarrow \mu v + \frac{1}{2} v'' = \frac{1}{2} \Omega^2 x^2 v$$

$v \propto$ Gaussian : $v(x) = A e^{-\frac{x^2}{2\sigma^2}}$

$$\Rightarrow v' = \left(-\frac{x}{\sigma^2}\right) v$$

$$\Rightarrow v'' = \left(-\frac{1}{\sigma^2}\right) v + \left(-\frac{x}{\sigma^2}\right) v' = -\frac{v}{\sigma^2} + \frac{x^2}{\sigma^4} v$$

$$\therefore \mu v + \frac{1}{2} \left(-\frac{1}{\sigma^2}\right) v + \frac{1}{2} \frac{x^2}{\sigma^4} v = \frac{1}{2} \Omega^2 x^2 v$$

$$\Rightarrow \boxed{\mu = +\frac{1}{2\sigma^2}} \quad \& \quad \Omega^2 = \frac{1}{\sigma^4} \Rightarrow \boxed{\sigma^2 = \frac{1}{\Omega}}$$

$$\Rightarrow \mu = +\frac{1}{2\sigma^2} = +\frac{1}{2} \Omega$$

$$\therefore u = A e^{+\frac{\mu x^2}{2}} e^{-i\mu t} = A e^{+\frac{1}{2} \Omega x^2} e^{-i\mu t}$$

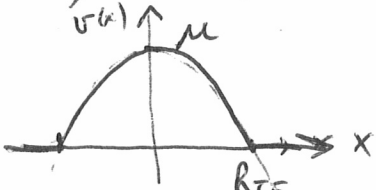


$M \gg 1$ (Thomas-Fermi, TF, limit)

$$Vu, u_t, |u|^2 u \gg u_{xx} \Rightarrow i u_t + |u|^2 u = V u$$

$$\therefore u = v(x) e^{-i\mu t} \Rightarrow \mu v + v^3 = V v \Rightarrow v^2 = \mu - V$$

$$\therefore v(x) = \sqrt{\mu - V(x)}$$



$$R_{TF} : \mu = V(R_{TF}) \Rightarrow \mu = \frac{1}{2} \Omega^2 R_{TF}^2$$

$$\Rightarrow R_{TF} = \frac{\sqrt{2\mu}}{\Omega}$$

Avoiding MI by using MT

83.5
84
(49)

Revised at al PRA 70 (04) 023602

"Avoiding infrared catastrophes in trapped BECs"

• NLS, attractive, $i u_t + \frac{1}{2} u_{xx} + |u|^2 u = 0$

• MI: $\omega^2 = \frac{k^2}{4} (k^2 - 4u_0^2)$

• BEC: NLS + $V(x)$: $i u_t + \frac{1}{2} u_{xx} + |u|^2 u = V(x) u$

• In BEC if $\# \text{ atoms} \gg 1$ (if $\int |u|^2$ large)

$\Rightarrow$ slow spatial variations

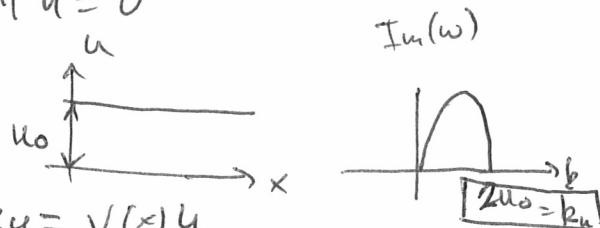
$\Rightarrow$ approx $\nabla^2 = 0$ ($u_{xx} = 0$)

$\Rightarrow i u_t + |u|^2 u \approx V(x) u$

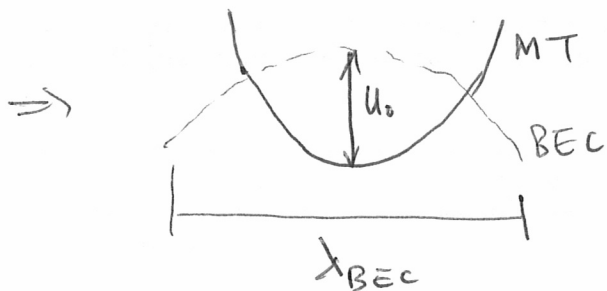
* steady state: $u = \psi e^{-i\mu t}$

$\Rightarrow \mu \psi + \psi^3 \approx V \psi$

$\Rightarrow \boxed{\psi^2 \approx V - \mu}$ Thomas-Fermi approx.



only works for regular V!



$k = 2\pi/\lambda$

$\therefore$ if $\lambda_{BEC} < \lambda_u = 2\pi/k_u = \pi/u_0$

$\Rightarrow$ one cannot support perturbations with $k < k_u$ and we suppress MI.

Conservation laws for NLS

As for KdV, it is possible to show that there is an ∞ -# of conservation laws (indep). We can obtain them by appropriately massaging and $\int$ the NLS.

Ex: conservation of mass $M = \int_{-\infty}^{\infty} |u|^2 dx$

→ Mass in BECs total power in optical fibers

$$\text{NLS: } i u_t + \frac{1}{2} u_{xx} \pm |u|^2 u = 0 \quad (1)$$

$$\overline{\text{NLS: }} -i \bar{u}_t + \frac{1}{2} \bar{u}_{xx} \pm |u|^2 \bar{u} = 0 \quad (2)$$

$$\textcircled{1} \times \bar{u} - \textcircled{2} \times u \quad \Rightarrow \quad i (u_t \bar{u} + \bar{u}_t u) + \frac{1}{2} (u_{xx} \bar{u} - \bar{u}_{xx} u) \pm |u|^2 (\cancel{u \bar{u}} - \cancel{\bar{u} u}) = 0$$

$$i \frac{\partial}{\partial t} (u \bar{u}) + \frac{1}{2} \frac{\partial}{\partial x} (u_{xx} \bar{u} - \bar{u}_{xx} u) = 0$$

$$\int \Rightarrow \quad i \frac{d}{dt} \int_{-\infty}^{\infty} |u|^2 dx + \frac{1}{2} [u_{xx} \bar{u} - \bar{u}_{xx} u]_{-\infty}^{\infty} = 0$$

$\therefore$ as long as $u(\pm\infty) = 0 = u_x(\pm\infty)$
i.e. localized solution

$$\Rightarrow \boxed{M = \int_{-\infty}^{\infty} |u|^2 dx = \text{const}}$$

HW:

$$E = \int_{-\infty}^{\infty} \left(\frac{1}{2} |u_x|^2 \mp \frac{1}{2} |u|^4 \right) dx = \text{const}$$

$$P = i \int_{-\infty}^{\infty} (u \bar{u}_x - \bar{u} u_x) dx = \text{const}$$

$\vdots$

Applications:

- Use conservation laws to check numerics are OK.
- Use $\text{---} u \text{---} u \text{---}$ with an ansatz (trial function) to obtain dynamics for this ansatz

ex:



(however since we introduce an external potential, just M & E are now conserved)

→ we'll do this ~~later~~ in HW

Variational Approximation

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Follow Anderson PRA 27 (1983) 3135 $\begin{bmatrix} \tau \rightarrow x \\ x \rightarrow t \end{bmatrix}$
and also see Malomed Prog. in Optics 43 (2002) 71

NLS $\Leftrightarrow \Psi$ Extrema of Lagrangian L.

$$\text{NLS: } i \Psi_t = \alpha \Psi_{xx} + k |\Psi|^2 \Psi$$

$$L = \frac{i}{2} [\Psi \Psi_t^* - \Psi^* \Psi_t] - \alpha |\Psi_x|^2 + \frac{k}{2} |\Psi|^4$$

$\alpha = -1/2, k = \pm 1 = \begin{cases} \text{repulsive = defocus} \\ \text{attractive = focus} \end{cases}$
and $L \rightarrow -L$ is usual notation
 $\alpha = k \rightarrow -t, k = -t$ attractive repulsive

Variational principle: $\delta \iint_{-\infty}^{\infty} L dx dt = 0, \quad \frac{\delta F}{\delta \Psi} \Big|_u = \lim_{\lambda \rightarrow 0} \left[\frac{d}{d\lambda} F(u + \lambda v) \right]$

that is $\text{NLS} \Leftrightarrow \frac{\delta L}{\delta \Psi^*} = \frac{\partial}{\partial t} \frac{\partial L}{\partial \Psi_t^*} + \frac{\partial}{\partial x} \frac{\partial L}{\partial \Psi_x^*} - \frac{\partial L}{\partial \Psi^*} = 0$

check: $\frac{\partial}{\partial t} \left[\frac{i}{2} \Psi \right] + \frac{\partial}{\partial x} [-\alpha \Psi_x] + \frac{i}{2} \Psi_t + k |\Psi|^2 \Psi^* = 0$

$\Rightarrow \frac{i}{2} \Psi_t - \alpha \Psi_{xx} + \frac{i}{2} \Psi_t + k |\Psi|^2 \Psi = 0 \Leftrightarrow \text{NLS} \checkmark$

Ansatz: Let us compute L but on a restricted space spanned by an ansatz.

Suppose $\Psi(x, t)$ can be approximated by $\Psi_A(x, t; \vec{p})$
parameters.

and suppose $\vec{p} = \vec{p}(t)$ [but not $p(x)$, i.e. space indep].

$\Rightarrow \delta \iint_{-\infty}^{\infty} L(x, t) dx dt = 0 \approx \delta \iint_{-\infty}^{\infty} L_A(x, t) dx dt = 0 \quad L_A = L(x, t) |_{\Psi_A}$

Since $p \neq p(x)$ we can define an averaged Lagrangian:

$$\bar{L}_A = \int_{-\infty}^{\infty} L_A(x, t) dx$$

Now $\bar{L}_A = \bar{L}_A(t, \vec{p}(t))$

• Variational principle reds: $\delta \int_{-\infty}^{\infty} \bar{L}_A(t, \vec{p}(t)) dt = 0$

• Euler-Lagrange eqs:

suppose $f = f(\vec{p}, \dot{\vec{p}}, t)$, the extrema for

$I = \int_{-\infty}^{\infty} f(\vec{p}, \dot{\vec{p}}, t) dt$ must satisfy:

Euler-Lagrange: $\frac{d}{dt} \left(\frac{\partial f}{\partial \dot{p}_i} \right) = \frac{\partial f}{\partial p_i}$ where $\vec{p} = \begin{pmatrix} p_1 \\ \vdots \\ p_N \end{pmatrix}$

* let us prove $E-L$ for 1 param $\vec{p} = p$

$$I = \int f(p, \dot{p}, t) dt \quad \text{and we need } \frac{\delta I}{\delta p} = 0$$

let us, as in calculus, do a perturbation and do $\frac{\delta I}{\delta p} = 0$

problem: how to perturb $p = p(t)$?

$$p(t) \rightarrow p(t) + \epsilon q(t) \Rightarrow \dot{p} \rightarrow \dot{p} + \epsilon \dot{q}$$

$$\therefore \delta I = \int f(p + \epsilon q, \dot{p} + \epsilon \dot{q}, t) dt - \int f(p, \dot{p}, t) dt$$

$$\text{Taylor} = \int f(p, \dot{p}, t) + \epsilon \frac{\partial f}{\partial p} q + \epsilon \frac{\partial f}{\partial \dot{p}} \dot{q} + O(\epsilon^2) - \int f(p, \dot{p}, t) dt$$

$$= \epsilon \int \left(\frac{\partial f}{\partial p} q + \frac{\partial f}{\partial \dot{p}} \dot{q} \right) dt + O(\epsilon^2)$$

$$\text{Extremum} \Rightarrow \delta I = 0 \text{ @ order } O(\epsilon) \Rightarrow \int \left(\frac{\partial f}{\partial p} q + \frac{\partial f}{\partial \dot{p}} \dot{q} \right) dt = 0$$

$$\text{parts: } u = \frac{\partial f}{\partial \dot{p}} \rightarrow \frac{d}{dt} \frac{\partial f}{\partial \dot{p}} dt$$

$$v = q(t) \leftarrow dv = \dot{q} dt$$

$$\Rightarrow \int_{-\infty}^{\infty} \frac{\partial f}{\partial p} q + \left[q(t) \frac{\partial f}{\partial \dot{p}} \right]_{-\infty}^{\infty} - \int_{-\infty}^{\infty} q \frac{d}{dt} \frac{\partial f}{\partial \dot{p}} = 0$$

If $f(t \rightarrow \pm \infty) \rightarrow 0$

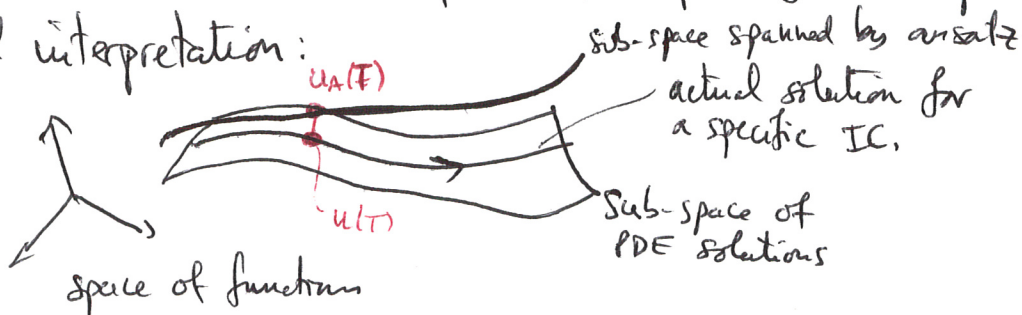
$$\Rightarrow \int_{-\infty}^{\infty} \left[\frac{\partial f}{\partial p} - \frac{d}{dt} \frac{\partial f}{\partial \dot{p}} \right] q = 0 \Rightarrow \boxed{\frac{\partial f}{\partial p} - \frac{d}{dt} \frac{\partial f}{\partial \dot{p}} = 0} \quad E-L$$

* If one has an array of params: $\vec{p} = \begin{pmatrix} p_1 \\ \vdots \\ p_N \end{pmatrix}$, $\dot{\vec{p}} = \begin{pmatrix} \dot{p}_1 \\ \vdots \\ \dot{p}_N \end{pmatrix}$

$$\Rightarrow \frac{d}{dt} \frac{\partial f}{\partial \dot{p}_i} - \frac{\partial f}{\partial p_i} = 0 \text{ for } i = 1, \dots, N$$

$\uparrow$ N eqs. (diff. eqs) for the parameters

Geometrical interpretation:



If ansatz is good $\Rightarrow$
 $u_A(t) \approx u(t)$

→ N Euler-Lagrange Eqs.

For us: $\int \bar{L}_A(t, \vec{p}(t)) dt = 0 \Leftrightarrow \frac{d}{dt} \left(\frac{\partial \bar{L}_A}{\partial \vec{p}_i} \right) = \frac{\partial \bar{L}_A}{\partial \vec{p}_i}$

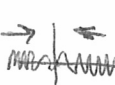
$\therefore$ NLS $\Leftrightarrow$ set of ODEs in ansatz parameters!

Ex: let us try to approximate a NLS solution with a Gaussian profile.

Ansatz: $\psi_A = \underbrace{A(t)}_{\text{width}} e^{\underbrace{\left[\frac{x^2}{2a^2(t)} + ib(t)x^2 \right]}_{\text{phase}}}$

$a(t)$ = width
 $|A(t)|$ = height
 $2b(t)x$ = "chirp"

$A(t) = |A| e^{i\phi(t)}$

$e^{ix^2} \Rightarrow$  contractions.

compute averaged Lagrangian

$\int e^{-\frac{x^2}{a^2}} = \sqrt{\pi} a, \quad \int x^2 e^{-\frac{x^2}{a^2}} = \frac{\sqrt{\pi}}{2} a^3$

$\bar{L}_A = \int_{-\infty}^{\infty} \frac{i}{2} [\psi_A \psi_{At}^* - \psi_A^* \psi_{At}] - \alpha |\psi_A|^2 + \frac{k}{2} |\psi_A|^4 dx$

$= \int_{-\infty}^{\infty} \frac{i}{2} \left\{ A^2 e^{\frac{i}{2} \left[\frac{-x^2}{2a^2} + ibx^2 \right]^*} - A^2 e^{\frac{i}{2} \left[\frac{-x^2}{2a^2} + ibx^2 \right]} - \alpha A^2 \left(\frac{-2x}{2a^2} + 2ibx \right) \left(\frac{2x}{2a^2} + 2ibx \right) e^{ix^2} \right. \right.$

$\left. + \frac{k}{2} A^4 (e^{ix^2})^2 \right\} dx$

$= \dots = \frac{\sqrt{\pi}}{2} \left[2|A|^2 a^3 b - \alpha a^3 A^2 \left(4b^2 + \frac{1}{a^4} \right) + \frac{k a}{\sqrt{2}} A^4 \right]$

Euler-Lag. Eqs:

[A] $\frac{d}{dt} \left(\frac{\partial \bar{L}_A}{\partial \dot{a}} \right) = \frac{\partial \bar{L}_A}{\partial a} \Rightarrow \frac{\sqrt{\pi}}{2} \left[2A^2 a^3 b - 2\alpha a^3 A^2 \left(4b^2 + \frac{1}{a^4} \right) + \frac{k a}{\sqrt{2}} A^4 \right] = 0$

[a] $\frac{d}{dt} \left(\frac{\partial \bar{L}_A}{\partial \dot{a}} \right) = \frac{\partial \bar{L}_A}{\partial a} \Rightarrow \frac{\sqrt{\pi}}{2} \left[3A^2 a^2 b - 3\alpha a^2 A^2 4b^2 + \frac{\alpha A^2}{a^2} + \frac{k}{\sqrt{2}} A^4 \right] = 0$

[b] $\frac{d}{dt} \left(\frac{\partial \bar{L}_A}{\partial \dot{b}} \right) = \frac{\partial \bar{L}_A}{\partial b} \Rightarrow \frac{d}{dt} \left[\frac{\sqrt{\pi}}{2} A^2 a^3 \right] = -\frac{1}{2} \alpha a^3 A^2 8b$

Since our ansatz does not have a variation for the phase let us let $A(t)$ be complex ie: $A(t) = A_r(t) + i A_i(t)$
 so we have now 4 parameters A_r, A_i, a, b

Instead of using A_i & A_r note that $A^* = (A_r - i A_i)/2$
 $A = (A_r + i A_i)/2$

so let us use A & A^* .

thus $\vec{p} = \begin{pmatrix} A \\ A^* \\ a \\ b \end{pmatrix} \leftarrow \text{parameters.}$

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compute averaged Lagrangian, use $\int e^{-\frac{x^2}{2a^2}} = \sqrt{2\pi} a$

$$\star \int x^2 e^{-\frac{x^2}{2a^2}} = \frac{\sqrt{2\pi}}{2} a^3$$

$$\rightarrow \bar{L}_A(x,t) = \int_{-\infty}^{\infty} L(x,t) \Big|_{\psi_A} dx = [\text{Eq (16) of Anderson}]$$

$$\rightarrow \bar{L}_A = \frac{\sqrt{2\pi}}{2} \left[i\alpha (A\dot{A}^* - A^*\dot{A}) + AA^* a^3 \dot{b} - \alpha a^3 AA^* (4b^2 + \frac{1}{a^4}) + \frac{1}{12} k a AA^* \right]$$

Euler-Lag. eqns

$$\boxed{A} \quad \frac{d}{dt} \left(\frac{\partial L}{\partial \dot{A}} \right) = \frac{\partial L}{\partial A} \Rightarrow \text{ODE (17)}$$

$$\Rightarrow \frac{d}{dt} (-i\alpha A^*) = i\alpha \dot{A}^* + A \alpha^3 \dot{b} - \alpha a^3 A^* (4b^2 + \frac{1}{a^4}) + \frac{1}{12} k a A^*$$

$$\boxed{A^*} \quad \frac{d}{dt} \left(\frac{\partial L}{\partial \dot{A}^*} \right) = \frac{\partial L}{\partial A^*} \Rightarrow \text{ODE (18)}$$

$$\Rightarrow \frac{d}{dt} (i\alpha A) = i\alpha \dot{A} + A \alpha^3 \dot{b} - \alpha a^3 A (4b^2 + \frac{1}{a^4}) + \frac{1}{12} k a A$$

$$\boxed{a} \quad \frac{d}{dt} \left(\frac{\partial L}{\partial \dot{a}} \right) = \frac{\partial L}{\partial a} \Rightarrow \text{ODE (19)}$$

$$\boxed{b} \quad \frac{d}{dt} \left(\frac{\partial L}{\partial \dot{b}} \right) = \frac{\partial L}{\partial b} \Rightarrow \text{ODE (20)}$$

* combining (17) & (18) $\Rightarrow \frac{d}{dt} (a |A|^2) = 0 \quad |A|^2 = AA^*$

$$\Rightarrow \begin{cases} i\alpha \dot{A}^* + i\alpha \dot{A} = \dots \\ i\alpha \dot{A} + i\alpha \dot{A}^* = \dots \end{cases} \Rightarrow \begin{cases} -i\alpha |A|^2 - i\alpha \dot{A} \dot{A}^* \\ + i\alpha |A|^2 - i\alpha \dot{A} \dot{A}^* \end{cases} = 0$$

$$\Rightarrow \frac{d}{dt} (a |A|^2) = 0 \Rightarrow \boxed{a |A|^2 = \text{const}} \quad (23)$$

this recovers conservation of mass:

$$\int |A|^2 dx = \text{const}$$

$$\Rightarrow \int_{-\infty}^{\infty} |A|^2 e^{-\frac{x^2}{2a^2}} dx = |A|^2 \int e^{-\frac{x^2}{2a^2}} = |A|^2 \sqrt{2\pi} a = \text{const.}$$

$$|A| = \sqrt{\frac{M}{\sqrt{2\pi} a}}$$

$$\star (20) : \frac{d}{dt} (a^3 |A|^2) = -4\alpha b a^3 |A|^2$$

$$\frac{d}{dt} \left(\underbrace{a |A|^2}_{\text{const}} \cdot a^2 \right) = a |A|^2 2 \dot{a} a = -4\alpha b a^3 |A|^2$$

$$\Rightarrow \boxed{\ddot{a} = -2\alpha b a} \quad (25) \quad \frac{1}{2} \text{ factor of 2}$$

* combining (17) & (18) in (19):

$$a \dot{b} - 4\alpha a b^2 + \frac{\alpha}{a^3} - \frac{k}{2\sqrt{2}} \frac{|A|^2}{a} = 0 \quad (26)$$

now $\frac{d}{dt} (25) \Rightarrow \ddot{a} = -2\alpha (\dot{b} a + b \dot{a}) = -2\alpha (\dot{b} a + 2\alpha b^2 a)$

$$\Rightarrow \boxed{\ddot{a} = \frac{4\alpha^2}{a^3} - \alpha k \sqrt{2} \frac{|A|^2}{a}} \quad (27)$$

It is convenient to eliminate $a|A|^2$

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Since $\frac{M}{\sqrt{\pi}} = |A|^2 a$

$$\ddot{a} = \frac{4x^2}{a^3} - \frac{\alpha k \sqrt{2}}{4 a^2 \sqrt{\pi}} \frac{M}{\sqrt{\pi}}$$

$$\frac{1}{x^2} - \frac{100}{x} \quad \frac{1}{x^2} - \frac{100}{x} = \frac{1}{x^2}$$

$$\ddot{a} = \frac{4x^2}{a^3} - \frac{\alpha k M}{\sqrt{\pi} a^2} = - \frac{d}{da} \left[+ \frac{4x^2}{2 a^2} - \frac{\alpha k M}{\sqrt{\pi} a} \right]$$

$$\Rightarrow \boxed{\ddot{a} = - \frac{d}{da} [V_{\text{eff}}(a)]} \quad \boxed{V_{\text{eff}}(a) = \frac{2x^2}{a^2} - \frac{\alpha k M}{\sqrt{\pi} a}} \quad (29)$$

(28)

This ODE is solved for a and then b is obtained through (25) and $|A|^2 a$ is const.

• 5 eq: (21) $\frac{\dot{a}}{a} = -2\alpha b \Rightarrow \frac{d}{dt} \ln a = -2\alpha b \Rightarrow \boxed{b(t) = -\frac{1}{2\alpha} \frac{d}{dt} (\ln a)}$ (30)

• phase of A: $A(t) = B(t) e^{i\phi(t)}$

this could have been another choice for ansatz: $\psi_A = B(t) e^{\frac{-x^2}{2a^2} + i\phi(t)}$

this is more standard now a days.

combining (17) & (18) $\Rightarrow$ eq (22) and use eq (26)

$$\Rightarrow \boxed{\dot{\phi}(t) = \frac{\alpha}{a^2} - \frac{5\sqrt{2}}{8} k |A|^2}$$

Steady state: minimum of $V_{\text{eff}} \Rightarrow \frac{4x^2}{a^2} = \frac{\alpha k M}{\sqrt{\pi} a^2} \Rightarrow \frac{4\alpha}{a} = \frac{k M}{\sqrt{\pi}}$

$$\therefore \boxed{a = \frac{4\alpha \sqrt{\pi}}{k M}}$$

Compare with $\psi = E \text{sech } Ex$ of a standing soliton
 $\psi_A = A e^{-\frac{x^2}{2a}}$

where $M = \sqrt{\pi} a |A|^2$ and $M = \int E^2 \text{sech}^2 Ex = 2E$
 and $a = \frac{4\alpha \sqrt{\pi}}{k M} \Rightarrow$ see Fig 5 of Anderson



Variational Approach in general

- nonlinear PDE : $\text{PDE}(\psi) = 0$
- Cast PDE as a variational Lagrangian:

$$\delta \iint L(\psi(x,t)) dx dt = 0 \Leftrightarrow \text{PDE}(\psi) = 0$$
- Restrict sols to ansatz : $L_A = L(\psi_A(x, \vec{p}))$
- Average over x : $\bar{L}_A(\vec{p}) = \int L_A dx$
- Euler-Lagrange $\frac{d}{dt} \frac{\partial \bar{L}_A}{\partial \dot{p}_i} = \frac{\partial \bar{L}_A}{\partial p_i}$
- Find a system of ODE's for params:

$$\text{most of the time E-L} \Rightarrow \left| \begin{array}{c} M(\vec{p}) \frac{d\vec{p}}{dt} = f(\vec{p}) \end{array} \right|$$

$\uparrow$ mass matrix $\uparrow$ forcing term.

⚠ the system of ODE's can be degenerate (non-invertible M)
 $\Rightarrow$ one has to try a $\neq$ ansatz.
Ex: Adding the chirp removes degeneracy!

non-chirped ansatz: $V=0$

$$u(x,t) = a \sinh w(x-d) e^{i(b+cx)}$$

$$p = \begin{bmatrix} a \\ b \\ c \\ d \\ w \end{bmatrix}$$

EL: ---

$$M(p) \dot{p} = f(p)$$

$$M = \begin{bmatrix} 0 & & & & \\ & \ddots & & & \\ & & 0 & & \\ & & & \ddots & \\ & & & & 0 \end{bmatrix}$$

~~Star p20~~

$$= \begin{matrix} & \begin{matrix} a & b & c & d & w \end{matrix} \\ \begin{matrix} a \\ b \\ c \\ d \\ w \end{matrix} & \begin{bmatrix} 0 & 4a/w & 4ad/w & 0 & 0 \\ -4a/w & 0 & 0 & 0 & 2a^2/w^2 \\ 0 & 0 & 0 & -2a^2/w & 0 \\ 0 & 0 & 2a^2/w & 0 & 0 \\ 0 & -\frac{2a^2}{w^2} & -\frac{2a^2 d}{w^2} & 0 & 0 \end{bmatrix} \end{matrix}$$

$$f = \begin{bmatrix} f_1 \\ 0 \\ f_2 \\ 0 \\ f_3 \end{bmatrix}$$

①:

$$\begin{cases} \ddot{b} + \ddot{c} = \\ \ddot{a} + \ddot{w} = \\ \ddot{d} = \\ \ddot{c} = \\ \ddot{b} + \ddot{c} = \end{cases}$$

1 eqn for $\ddot{a}, \ddot{w}$

4 eqns for $\ddot{b}, \ddot{c}, \ddot{d}$

$\Rightarrow$ non invertible.

Chipped ansatz: $V \neq 0$

$$u(x,t) = a \operatorname{sech} w(x-d) e^{i(cx+b+ex^2)}$$

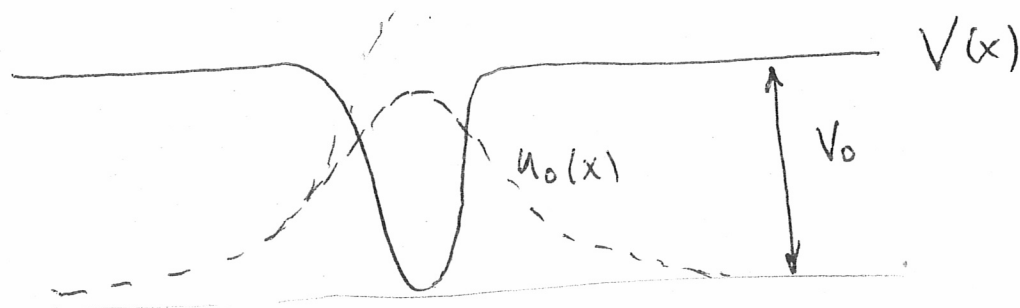
1 a
2 b
3 c
4 d
5 e
6 w

Variational
Method

$$M(p) \frac{dp}{dt} = f(p)$$

With a well behaved M (i.e. invertible)

Ex: $V(x) = V_0 \tanh^2 x$



$$u_0(x,t) = \frac{a_0}{\sqrt{1-V_0}} \operatorname{sech} x e^{i\omega_0 t}$$

$$\omega_0 = \frac{1}{2} - V_0$$

ansatz: $u(x,t) = a \operatorname{sech} w(x-d) e^{i(cx+b+ex^2)}$

Show ODE system.

$$M\dot{p} = f(p)$$

M invertible ✓

Potential term

$$\begin{aligned} \mathcal{L}_A &= \int_{-\infty}^{\infty} \mathcal{L}_A \\ &= \int_{-\infty}^{\infty} V(x) |u_A|^2 dx \end{aligned}$$

overlapping $\int$

(6.41), be zero. This results in a system of 6 equations for the time derivatives a' , b' , c' , d' , e' , and w' ,

$$M \begin{bmatrix} a' \\ b' \\ c' \\ d' \\ e' \\ w' \end{bmatrix} = f.$$

a : amplitude
 b : phase (w)
 c : velocity
 d : position (z)
 e : chirp
 w : width (v)

The mass matrix is

$$M = \begin{bmatrix} 0 & \frac{4a}{w} & \frac{4ad}{w} & 0 & \frac{\pi^2 a}{3w^3} + \frac{4ad^2}{w} & 0 \\ -\frac{4a}{w} & 0 & 0 & 0 & 0 & \frac{2a^2}{w^2} \\ 0 & 0 & 0 & -\frac{2a^2}{w} & 0 & 0 \\ 0 & 0 & \frac{2a^2}{w} & 0 & \frac{4a^2 d}{w} & 0 \\ -2a \left(\frac{\pi^2}{6w^3} + \frac{2d^2}{w} \right) & 0 & 0 & -\frac{4a^2 d}{w} & 0 & \frac{a^2 \pi^2}{2w^4} + \frac{2a^2 d^2}{w^2} \\ 0 & -\frac{2a^2}{w^2} & -\frac{2a^2 d}{w^2} & 0 & -a^2 \left(\frac{\pi^2}{2w^4} + \frac{2d^2}{w^2} \right) & 0 \end{bmatrix}$$

and the forcing terms are

$$f = \begin{bmatrix} f_1 \\ 0 \\ f_3 \\ f_4 \\ f_5 \\ f_6 \end{bmatrix}$$

where the entries are

$$f_1 = \frac{4}{w} \alpha a c^2 + \frac{16}{w} \alpha a c d e + \frac{4\pi^2}{3w^3} \alpha a e^2 + \frac{16}{w} \alpha a d^2 e^2 + \frac{4}{3} \alpha a w - \frac{8}{3w} \kappa a^3 - 2a \int_{-\infty}^{\infty} V(x) \operatorname{sech}^2 w(x-d) dx$$

$$f_3 = \frac{4}{w}\alpha a^2 c + \frac{8}{w}\alpha a^2 d e$$

$$f_4 = \frac{8}{w}\alpha a^2 c e + \frac{16}{w}\alpha a^2 d e^2 - 2a^2 w \int_{-\infty}^{\infty} V(x) \operatorname{sech}^2 w(x-d) \tanh w(x-d) dx$$

$$f_5 = \frac{8}{w}\alpha a^2 c d + 8\alpha a^2 e \left(\frac{\pi^2}{6w^3} + \frac{2d^2}{w} \right)$$

$$f_6 = -\frac{2}{w^2}\alpha a^2 c^2 - \frac{8}{w^2}\alpha a^2 c d e - 4\alpha a^2 e^2 \left(\frac{\pi^2}{2w^4} + \frac{2d^2}{w^2} \right) + \frac{2}{3}\alpha a^2 + \frac{2}{3w^2}\kappa a^4 + 2a^2 \int_{-\infty}^{\infty} V(x)(x-d) \operatorname{sech}^2 w(x-d) \tanh w(x-d) dx.$$

We note that M is now invertible. As we stated at the end of chapter 5, this is necessary for our ODE system to be well-posed and to yield an explicit solution of parameters. Thus our "chirped" ansatz produces an invertible mass matrix for the ODE parameter system. We now have the following inverse of the mass matrix M

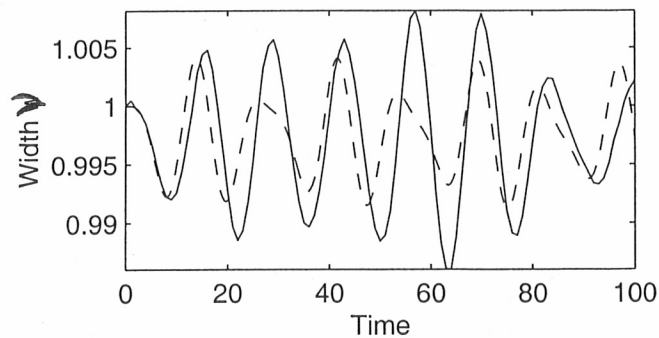
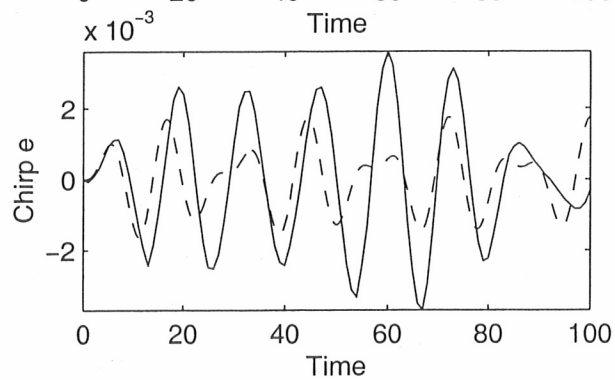
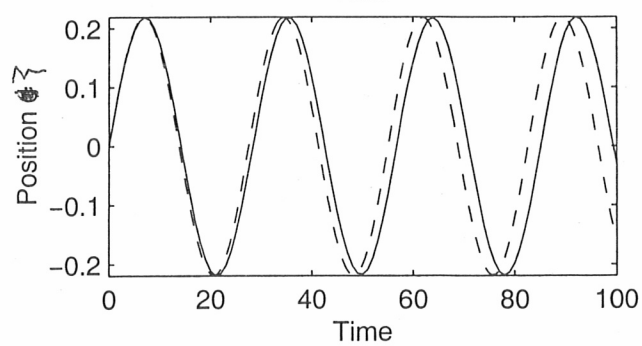
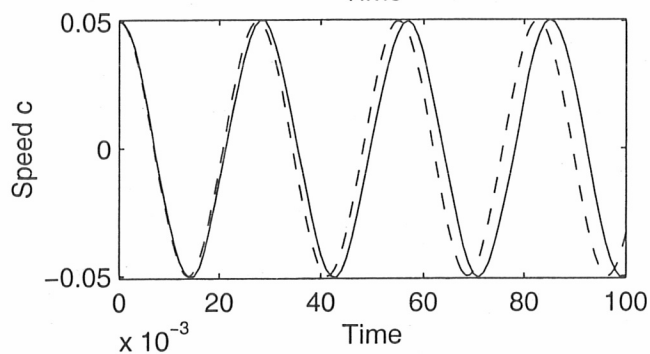
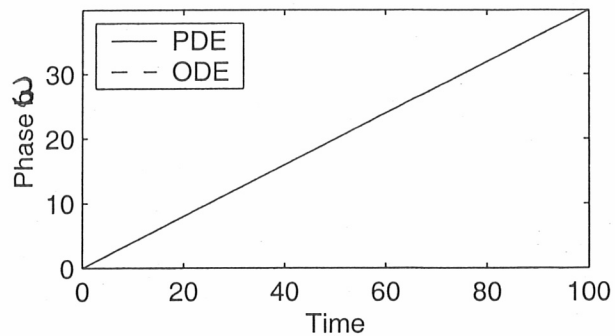
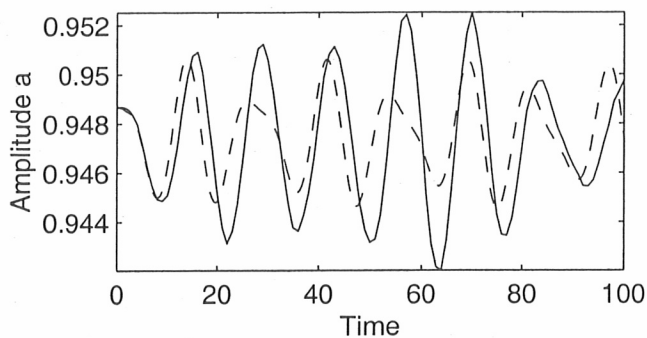
$$M^{-1} = \begin{bmatrix} 0 & -\frac{3}{8} \frac{(\pi^2 + 4d^2 w^2)w}{a\pi^2} & -3 \frac{dw^3}{a\pi^2} & 0 & \frac{3}{2} \frac{w^3}{a\pi^2} & 0 \\ -\frac{3}{8} \frac{(-\pi^2 + 4d^2 w^2)w}{a\pi^2} & 0 & 0 & -\frac{1}{2} \frac{dw}{a^2} & 0 & -\frac{1}{4} \frac{(12d^2 w^2 - \pi^2)w^2}{a^2 \pi^2} \\ 3 \frac{dw^3}{a\pi^2} & 0 & 0 & \frac{1}{2} \frac{w}{a^2} & 0 & 6 \frac{w^4 d}{a^2 \pi^2} \\ 0 & 0 & -\frac{1}{2} \frac{w}{a^2} & 0 & 0 & 0 \\ -\frac{3}{2} \frac{w^3}{a\pi^2} & 0 & 0 & 0 & 0 & -3 \frac{w^4}{a^2 \pi^2} \\ 0 & -\frac{1}{4} \frac{(\pi^2 + 12d^2 w^2)w^2}{a^2 \pi^2} & -6 \frac{w^4 d}{a^2 \pi^2} & 0 & 3 \frac{w^4}{a^2 \pi^2} & 0 \end{bmatrix}$$

and we may now write explicitly

$$P' = M^{-1} f \quad (6.42)$$

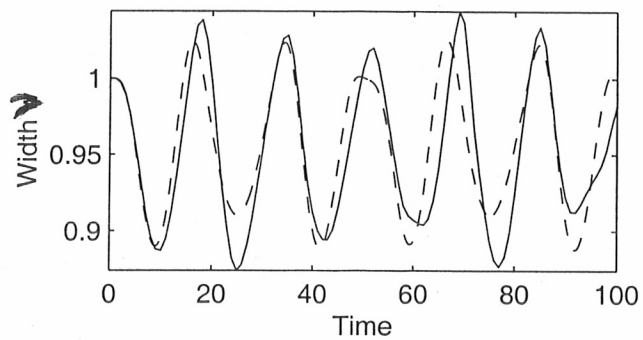
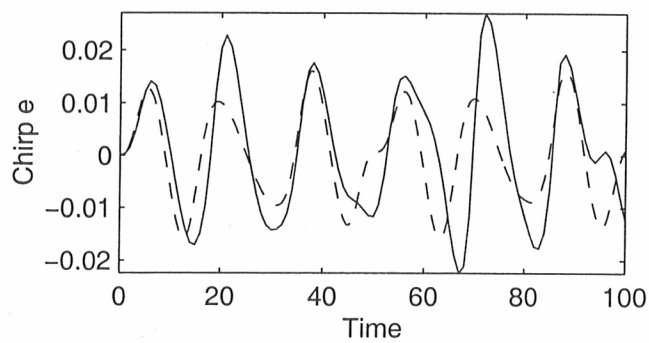
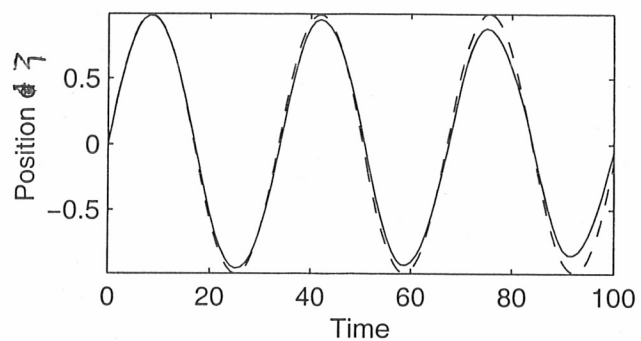
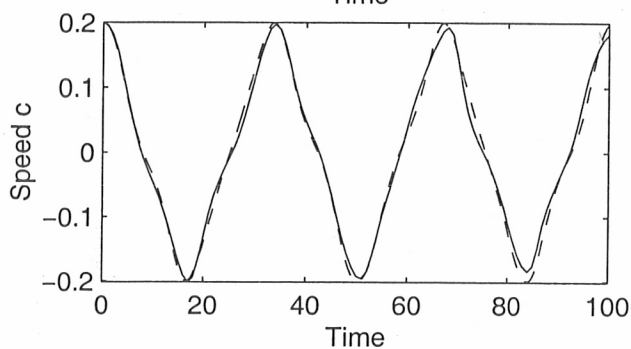
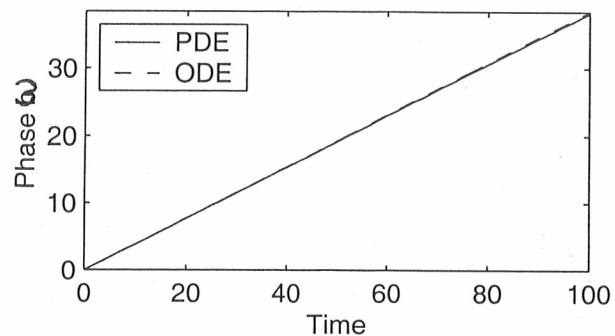
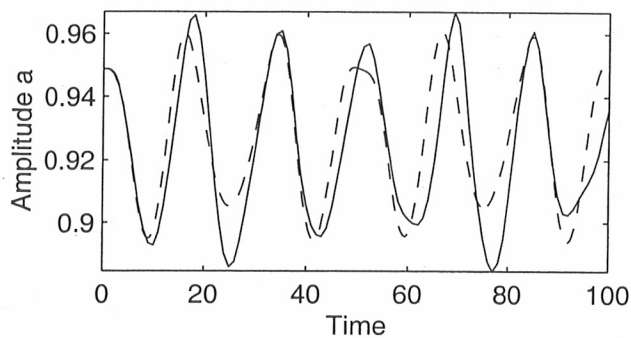
$C = 0.05$

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IC:
$$\begin{cases} v_0 = 0.1 \\ a = a_0 = \sqrt{1-v_0} \\ \omega = 0 \\ c = 0.05 \\ \zeta = 0 \\ v = 1 \end{cases}$$

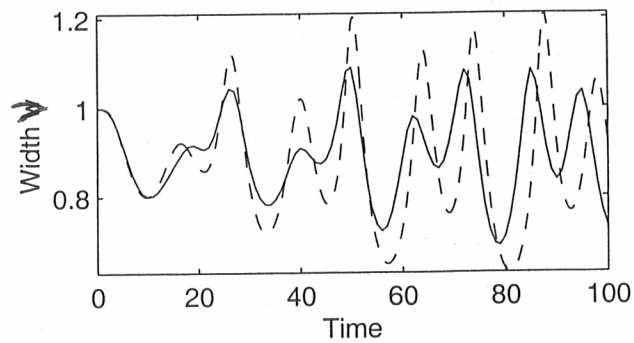
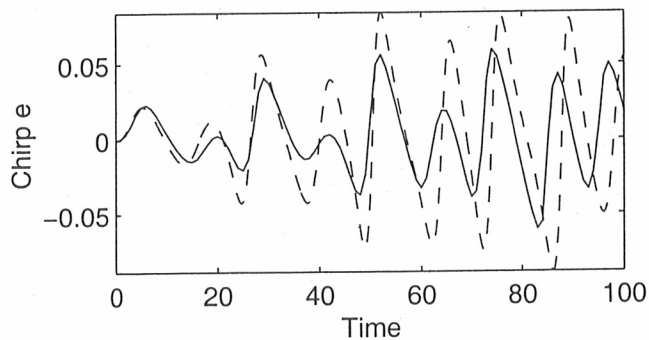
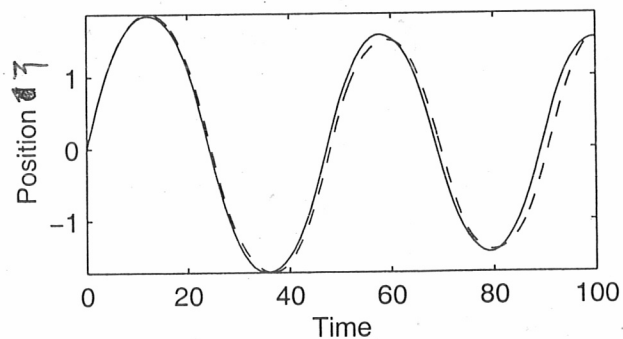
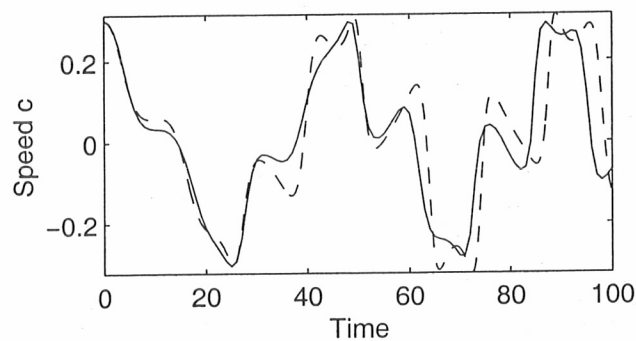
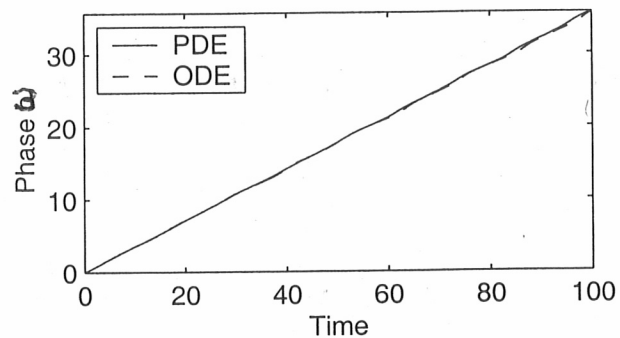
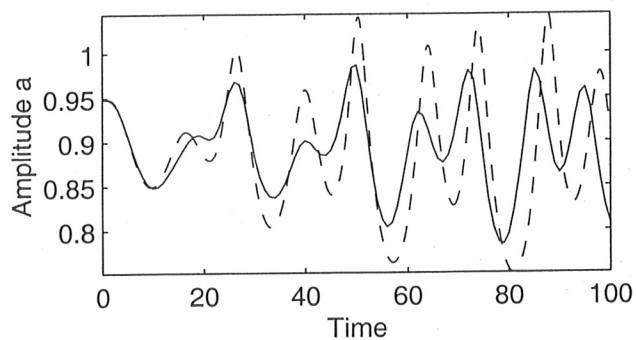
$c = 0.2$ 68



IC:
$$\begin{cases} V_0 = 0.1 \\ a = a_0 = \sqrt{1 - V_0} \\ \omega = 0 \\ c = 0.2 \\ z = 0 \\ v = 1 \end{cases}$$

$c = 0.3$

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ICs: $\begin{cases} v_0 = 0.1 \\ a = a_0 = \sqrt{1 - v_0} \\ w = 0 \\ c = 0.3 \\ z = 0 \\ v = 1 \end{cases}$